



**SCHEME FOR NATIONAL AWARDS - 2007 FOR
RESEARCH & DEVELOPMENT EFFORTS IN
MICRO, SMALL AND MEDIUM ENTERPRISES (MSMEs)**

1. OBJECTIVE

The Micro, Small and Medium Enterprises (MSMEs) in India have seen a vast development in the last five decades. The MSMEs have registered tremendous growth as also the progress in terms of quality production, exports, innovation, product development and import substitution, very much beyond the expected objectives of setting up MSMEs by the planners of industrial production base in the country. Entrepreneurial efforts have made it possible to produce number of items, which hitherto were imported. In quite a few cases new variants so produced are having additional attributes than their original versions and are capable of solving a multitude of user problems.

This all has become possible to encourage such in-house R&D efforts and promote this spirit in larger interest of qualitative development of MSMEs.

2. AWARDS

The Awards will be of two categories, details of which are as under.

1. National award for Research & Development Efforts in Micro & Small Enterprise::

- (1) First National Award Rs. 1,00,000/- Cash Prize, a Certificate and a Trophy.
- (2) Second National Award Rs. 75,000/- Cash Prize, a Certificate and a Trophy.

2. National award for Research & Development Efforts in Medium Enterprises:

- (1) First National Award Rs. 1,00,000/- Cash Prize, a Certificate and a Trophy.
- (2) Second National Award Rs. 75,000/- Cash Prize, a Certificate and a Trophy.

3. A Special Recognition Award to those MSMEs scoring marks above 80 percent and (50 percent in case of North Eastern Region including Sikkim) will be given a Cash prize of Rs. 20,000/- each, a Certificate and a Trophy at the State Level Function to be organized by Director, MSME-DI.

3. PERIODICITY & ELIGIBILITY

The Awards will be given for every calendar year to deserving entrepreneurs managing Micro, Small and Medium Enterprises having permanent registration / have filed Entrepreneurs Memorandum with the authorities notified by respective State Governments/UT Administration in accordance with the provisions contained in the Micro.

Small and Medium Enterprises Development (MSMED) Act. 2006, which has since come into force from 2 October 2006. The MSMEs should have been in continuous production during last four years.

4. CRITERIA

The evaluation for Award is to be done on the basis of and on the Criteria & Proforma for Evaluation and Awarding Marks (Form Z) specifically designed for the purpose. The critical areas include:

- (i) Nature of R&D achievement.
- (ii) Infrastructure evolved for in-house R&D effort.
- (iii) Economic potential of the R&D project realized/expected in terms of resource utilization, import substitution, export potential, energy conservation, environmental protection, cost reduction and other advantages.
- (iv) Status of commercialization project developed.
- (v) Detail of patents/design copyright obtained or applied for.
- (vi) Societal impact/contribution.
- (vii) Growth trends of the MSMEs.

5. COMPOSITION OF STATE LEVEL SELECTION COMMITTEE (SLSC)

The State Level Selection Committee will consist of –

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| (i) The Secretary, Department of Industries of State/U.T. | Chairman |
| (ii) Director of Industries of State/U.T. | Member |
| (iii) Director, Council of Scientific & Industrial Research (CSIR) Laboratory of the State/neighbouring region. | Member |
| (vi) Director, National Productivity Council of the State. | Member |
| (v) Director of the Regional/Govt. Engg. College | Member |
| (vi) Scientist in-charge of Polytechnology Transfer Centre in the State | Member |
| (vii) Representative of State Level Small Industries Association. | Member |
| (viii) Director, MSME-DI | Member Convener |

SLSC will scrutinize the applications and appraise the claims made by the MSMEs in respect its in-house R&D achievement (with reasonable account displaying evolution of R&D performance in respect of items produced/

developed) and other particulars and evaluate the same based on the criteria prescribed in Form Z. Qualifying marks as per criteria for consideration by the National Level Selection Committee will be above 60% and 50% in case of Entrepreneur from NER including Sikkim.

SLSC may institute such inquiries as may be necessary to satisfy themselves that at the enterprise is an MSME from the point of view of investment in plant and machinery as per limit norms in vogue and notification of National Board & Advising Committee, (b) it is actually in operation and the entrepreneur has been abiding by all statutory obligations and requirements with proper documentation and the entrepreneur/MSME is not in any economic/other offence for which an enquiry/legal action is pending.

A Committee consisting of the Representative of Director, MSME-DI (formerly Small Industries Service Institute) and a Representative of the Directorate of Industries of the State/UT. may visit the MSME to verify (on behalf of Selection Committee) the information furnished in the application form including details of R&D efforts/achievements, (with reasonable account displaying evolution of R&D performance) technology, performance, quality, MSME status and growth rate/trend etc. before recommendation is formulated.

The State Level Selection Committee may send its recommendations along with one copy of the application form to the Office of the Development Commissioner (MSME), Ministry of MSME, Govt. of India, 7th Floor, 'A' Wing, Nirman Bhawan, New Delhi-110108 latest by **30th April, 2008**.

6. COMPOSITION OF NATIONAL LEVEL SELECTION COMMITTEE (NLSC)

The National Level Selection Committee will consist of –

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| (i) | Additional Secretary & Development Commissioner, (MSME) Ministry of MSME, Govt. of India. | Chairman |
| (ii) | Two Secretaries, Department of Industries of State Govt. to be nominated by Govt. of India. | Member |
| (iii) | Director General, National Productivity Council or his nominee | Member |
| (iv) | Director General, Council of Scientific & Industrial Research (CSIR) or his nominee | Member |
| (v) | Nominee of the Secretary, Department of Science & Technology (DST) | Member |
| (vi) | Managing Director, National Research Development Corporation (NRDC), or his nominee | Member |
| (vii) | Dean, Indian Institute of Technology (IIT) New Delhi. or his nominee | Member |
| (viii) | Managing Director, Small Industries Development Bank of India (SIDBI), or his nominee | Member |
| (ix) | President, Laghu Udyog Bharti | Member |

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| (x) | President, Federation of Associations of Small Industries of India (FASII) | Member |
| (xi) | Additional Industrial Adviser O/o DC (MSME) | Member Convenor |

7. METHODOLOGY

For purposes of selecting enterprises for consideration for awards, the following procedure will be observed :-

- (i) The eligible Enterprises will send their nominations in the prescribed proforma in duplicate (with original/ downloaded only on the prescribed form) to Director, MSME-DI of the State where their MSMEs is registered/ have filed Entrepreneurs Memorandum (EM).
- (ii) State Level Selection Committee will select MSME for the Award- 2007 and award marks on the Criteria proforma (**Form Z**) enclosed.
- (iii) The recommendations of the State Level Selection Committee (SLSC) received without break-up of marks on Form Z and those on Application form will not be considered by the National Level Selection Committee (NLSC).
- (iv) The applications will further be scrutinized by the technical group of the office of DC (MSME) for evaluating the mark for submitting before National Level Selection Committee for selecting the awardees.
- (v) Qualifying marks as per criteria for consideration by the National Level Selection Committee will be 60% and (50% in case of NER including Sikkim). There will be no bar for an earlier awardees to be nominated or considered again for higher level Award.

8. PRIVILEGES

The winner of the Awards shall have the privilege of allowing their employees to wear labels, pins, ties or other distinctive badges with the symbol indicating the Award with the year of the award inscribed on it. The entrepreneurs could also use this symbol on their letterheads or in any advertisement issued by them along with the year of the Award.

9. TO WHOM TO APPLY

The eligible Enterprises will send their nominations in the prescribed proforma in duplicate to Director, MSME-DI of the State where their MSMEs is registered/ filed Entrepreneurs Memorandum. The application could also be routed to Convener through Director of Industries of State/General Manager, District Industries Centre by ensuring that it reaches to the Convener by the last date of application **ie 30th March 2008**.

One copy of all the applications of MSMEs on the prescribed proforma may be sent alongwith break-up of Marks on Form 'Z' duly completed with the recommendations of the State Level Selection Committee to the Development Commissioner (MSME) Ministry of MSME, 7th Floor, 'A' Wing, Nirman Bhavan, New Delhi-110 108 latest by **30th April 2008**. (Visit Website:- www.dcmsme.gov.in)